



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: RICHARD H. GUSKI ET AL.

FOR: IDENTITY VECTORING VIA CHAINED MAPPING RECORDS

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

The Commissioner of Patents  
and Trademarks  
P.O. Box 1450  
Alexandria, VA 22313-1450

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In compliance with the duty to disclose, applicants submit herewith a copy of each publication, excluding U.S. Patents and U.S. published patent applications, of which those designated by 37 CFR § 1.56 are aware; the publications are listed on attached form PTO-1449. The present Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other art than that identified on the attached form PTO-1449 exists.

Consideration of this Information Disclosure Statement is respectfully requested, since the Examiner may consider the information provided herein material to the patentability of the subject application as defined in 37 CFR § 1.56.

Since this Information Disclosure Statement is being filed within three months of the filing date of the subject application, no fee or certification under 37 CFR § 1.97 (e) is

PO9-99-151/IB2-0005

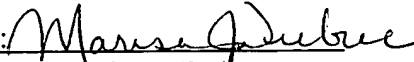
required.

However, in the event the Commissioner of Patents deems that any fee is required under 37 CFR §§ 1.16 and 1.17 in connection with this application, applicants' attorneys authorize that such fee be charged to Deposit Account No. 09-0463.

Respectfully submitted,

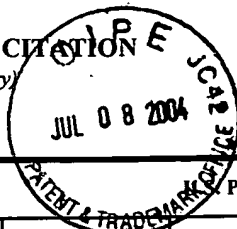
RICHARD H. GUSKI ET AL.

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**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)



Docket Number (Optional)

PO9-99-151

Application Number

09/507,882

Applicant(s)

RICHARD H. GUSKI ET AL.

Filing Date

02/22/2000

Group Art Unit

2134

**PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	5,491,817	02/13/1996	Gopel et al.	395	600	05/25/1993
	2	5,678,045	10/14/1997	Bettels	395	616	12/06/1993
	3	5,745,574	04/28/1998	Muftic	380	23	12/15/1995
	4	5,774,552	06/30/1998	Grimmer	380	25	12/13/1995
	5	5,896,440	04/20/1999	Reed et al.	379	1	02/26/1996
	6	6,013,107	01/11/2000	Blackshear et al	703	229	10/16/1997
	7	6,192,362 B1	02/20/2001	Schneck et al.	707	10	12/15/1997

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**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

	1	IBM Technical Disclosure Bulletin, Vol. 31 No. 4, September 1988 - Method to Provide User ID/Group ID Translation in the IBM RT/PC Networks, pp. 306-307
	2	IBM Technical Disclosure Bulletin, Vol. 32, No. 9A, February 1990 - Sessions-Based Secure Communication For Secure Zeniz, pp. 239-243.

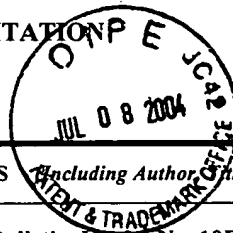
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# INFORMATION DISCLOSURE CITATION

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Docket Number (Optional)

PO9-99-151

Application Number

09/507,882

Applicant(s)

RICHARD H. GUSKI ET AL.

Filing Date

February 2, 2000

Group Art Unit

2134

\*EXAMINER  
INITIAL

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

3

IBM Technical Disclosure Bulletin, Vol 34, No. 10B, March 1992 - Mechanism for Supporting Multiple Authentication Servers, pp. 200-201.

4

IBM Technical Disclosure Bulletin, Vol. 18 No. 8, August 1995 - Mapping of Distributed Computing Environment Identities to MVS Userids, pp. 213- 214.

5

IBM Technical Disclosure Bulletin, Vol. 38 No. 09, September 1995 - Partial Containment Structure for Integration of Distributed Computing Environment and Local Registries, pp. 535-538.

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U.S. Application Serial No. 09/332,704, Frey et al., filed 06/14/1999, Abandoned 09/12/2002 - Mapping of Name Space Object Identities to Distinguished Names

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